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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>(Under 37 CFR 1.97(b) or 1.97(c))</b>		Docket No. 122121-2
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In Re Application Of: DeRudder et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/797,418	03/10/2004	to be assigned	25743	1712	4129

Title: TRANSPARENT AND HIGH HEAT POLYCARBONATE POLYSILOXANE COPOLYMERS AND  
TRANSPARENT BLENDS WITH POLYCARBONTE AND A PROCESS FOR PREPARING SAME



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - OR
  - the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account 07-0862 as described below.

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Signature

Dated: 6/11/04

Frank A. Smith - Registration No. 39,375

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CC:

PTO-1449

Attorney's Docket Number  
122121-2Serial Number  
10/797,418**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
**LIST OF ITEMS**

(Use several sheets if necessary)

Name of Applicant  
DeRudder et al.Filing Date  
3/10/2004Group  
1712**U.S. PATENT DOCUMENTS**

* Examiner Initials	Document Number									Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3	6	4	0	9	4	3		02/08/72	Bostick et al.			
	AB	3	6	8	6	3	5	5		08/22/72	Gaines et al.	O I P E	JUN 16 2004	TRADEMARK OFFICE 505
	AC	4	1	2	6	7	4	0		11/21/78	Factor et al.			
	AD	4	1	4	7	7	0	7		04/03/79	Alewelt et al.			
	AE	4	2	0	7	2	6	4		06/10/80	Anderson et al.			
	AF	4	2	2	4	2	1	5		09/23/80	Macke			
	AG	4	5	6	9	9	7	0		02/11/86	Paul et al.			
	AH	4	5	8	4	3	6	0		04/22/86	Paul et al.			
	AI	4	6	1	2	2	3	8		09/16/86	DellaVecchia et al.			
	AJ	4	6	5	7	9	8	9		04/14/87	Evans			

**FOREIGN PATENT DOCUMENTS**

	Document Number			Date	COUNTRY	Class	Subclass	TRANSLATION YES      NO
AK	EP0595141 A1			05/04/94	European			
AL	EP0522751 B1			01/13/93	European			
AM	EP0600196 B1			06/08/94	European			
AN	EP0376052 B1			07/04/90	European			
AO	EP0322690 A1			07/05/89	European			
AP	EP0374508 A2			06/27/90	European			

**OTHER INFORMATION** (Including Author, Title, Date, Pertinent)

AQ	
AR	
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DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449									Attorney's Docket Number 122121-2	Serial Number 10/797,418	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT									Name of Applicant DeRudder et al.		
<u>LIST OF ITEMS</u>									Filing Date 3/10/2004	Group 1712	
(Use several sheets if necessary)											

#### U.S. PATENT DOCUMENTS

* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	4	6	8	1	9	2	2	07/21/87	Schmidt et al.			
	AB	4	7	3	2	9	4	9	03/22/88	Paul et al.			
	AC	4	7	4	6	7	0	1	05/24/88	Kress et al.			
	AD	4	7	7	7	2	1	2	10/11/88	Kress et al.			
	AE	4	7	8	2	1	1	5	11/01/88	Paul et al.			
	AF	4	7	9	4	1	4	1	12/27/88	Paul et al.			
	AG	4	8	6	1	8	2	9	08/29/89	Kress et al.			
	AH	4	9	1	2	1	6	5	03/27/90	Winfried et al.			
	AI	4	9	2	5	8	9	1	05/15/90	Kress et al.			
	AJ	5	0	3	2	6	6	1	07/16/91	Serini et al.			

#### FOREIGN PATENT DOCUMENTS

	Document Number			Date	COUNTRY	Class	Subclass	TRANSLATION YES      NO
AK	EP0425894 B1			04/13/94	European			
AL	EP0524731 B1			01/27/93	European			
AM	EP0206006A1 (abstract)			12/30/86	European			X
AN	EP0239764A2 (abstract)			10/07/87	European			X
AO	EP0247430B1 (abstract)			12/02/87	European			X
AP	EP0258728A3 (abstract)			03/09/88	European			X

#### OTHER INFORMATION (Including Author, Title, Date, Pertinent)

AQ	"Surface Composition of Siloxane-Containing Polymers". Authors: Gorelova, Marianna; Levin, Victor. Makromol. Chem., Macromol Symp. 44, 317-326 (1991).
AR	"Preferential Enrichment of Chemistry at Polymer Surfaces". Authors: Lopez, Leonardo C.; Dwight, David W.; Journal of Applied Polymer Science, Vol. 36, 1401-1415 (1988).
AS	"Study of Surface Composition and Morphology of Block Copolymers of Bisphenol A Polycarbonate and Poly(dimethylsiloxane) by X-ray Photoelectron Spectroscopy and Ion Scattering Spectroscopy". Authors: Schmitt, Robert L., Gardella, Joseph A. Jr., Magill, Joseph H., Salvati, Lawrence Jr., Chin, Roland L., Macromolecules 1985, Vol. 18, No. 12, 2675-2679.

EXAMINER	DATE CONSIDERED
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* Examiner Initials	Document Number									Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	5	1	0	0	9	6	0	03/31/92	Grigo et al.				
	AB	5	1	0	9	0	7	6	04/28/92	Freitag et al.				
	AC	5	1	1	2	9	2	5	05/12/92	Horlacher et al.				
	AD	5	1	3	7	9	4	9	08/11/92	Paul et al.				
	AE	5	3	2	2	8	8	2	06/21/94	Okamoto				
	AF	5	3	4	4	9	0	8	09/06/94	Rosenquist				
	AG	5	3	8	0	7	9	5	01/10/95	Gosens et al.				
	AH	5	4	5	1	6	3	2	09/19/95	Okumura et al.				
	AI	5	4	5	5	3	1	0	10/03/95	Hoover et al.				
	AJ	5	4	8	0	9	5	9	01/02/96	Schmidhauser				

## FOREIGN PATENT DOCUMENTS

	Document Number			Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
AK	EP0236837A2 (abstract)			09/16/87	European			X
AL	WO 80/00084			01/24/80	World			
AM	JP1994240148A			08/30/94	Japan			X
AN	JP1997235376A			09/09/97	Japan			X
AO	JP1995224219A			08/22/95	Japan			X
AP	JP5-202182			08/10/93	Japan			X

## OTHER INFORMATION (Including Author, Title, Date, Pertinent)

AQ	"On the Surface Morphology of Block Copolymers". Author: Gaines, George L., Jr., Macromolecules 1981, Vol 14, 208.
AR	"Esca Analysis of Polyphosphazene and Poly(siloxane/Carbonate) Surfaces". Authors: Dwight, D.W., McGrath, J.E., Beck, A.R., and Riffle, J.S.; Virginia Polytechnic Institute and State University, Blacksburg, Virginia, Source: 20, No. 1, April 1979, p 702-705.
AS	"Surface Behavior of Binary Polymeric Systems". Gorelova, M.M., Pertsin, A.I., Makarova, L.I., Filimonova, L.V., Levin, V. Yu. Polymer Science USSR, Vol. 34, No. 2, 145-150 (1992).

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	AA	5	5	3	0	0	8	3	06/25/96	Phelps et al. ..				
	AB	5	6	0	8	0	2	7	03/04/97	Crosby et al.				
	AC	5	6	1	6	6	7	4	04/01/97	Michel et al.				
	AD	5	7	8	3	6	5	1	07/21/98	König et al.				
	AE	6	0	0	1	9	2	9	12/14/99	Nodera et al.				
	AF	6	0	7	2	0	1	1	06/06/00	Hoover				
	AG	6	3	2	3	2	8	0	11/27/01	Paul et al.				
	AH													
	AI													
	AJ													

**FOREIGN PATENT DOCUMENTS**

	Document Number			Date	COUNTRY	Class	Subclass	TRANSLATION YES      NO
AK	JP3180406B2			06/25/01	Japan			X
AL	JP3180407			06/25/01	Japan			X
AM	JP2890648 B2			05/17/99	Japan			X
AN	JP1995026149 A			01/27/95	Japan			X
AO	JP1997265663A			10/07/97	Japan			X
AP	JP1999045139A			02/16/99	Japan			X

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AG											
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AI											
AJ											

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	Document Number		Date	COUNTRY	Class	Subclass	TRANSLATION YES      NO
AK	JP1995173276A		07/11/95	Japan			X
AL	JP3151789		04/03/01	Japan			X
AM	JP1993311075A		11/22/93	Japan			X
AN	JP1995207140A		08/08/95	Japan			X
AO	JP6008386B		02/02/94	Japan			X
AP	JP62-088134 (abstract)		04/22/87	Japan			X

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